

Electronic Acknowledgement Receipt

EFS ID:	2940584
Application Number:	09696762
International Application Number:	
Confirmation Number:	3125
Title of Invention:	INVESTMENT ADVICE SYSTEMS AND METHODS
First Named Inventor/Applicant Name:	Mark Hoffman
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Filer:	Jason Vone Chang
Filer Authorized By:	
Attorney Docket Number:	UPM-001.01
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	23952-0340_Substitute_PO A.pdf	73967	no	4
			9f73dcab635178ac627e652609cacc9ba4753a4e		

Warnings:

Information:

2	Assignee showing of ownership per 37 CFR 3.73(b).	23952-0340_3-73b_Assignments.pdf	230811	no	10
			fb1681ea5a1a5ab2f304a191786695a42f5494fc		

Warnings:

Information:

Total Files Size (in bytes):			304778
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.